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EV809055428US

FORM PTO-1390 (REV. 7-2005)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 11774-006-999

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

NO. (if known, see 37CFR 1.5)

INTERNATIONAL APPLICATION NO. .PCT/CN2005/000408

INTERNATIONAL FILING DATE March 29, 2005

PRIORITY DATE CLAIMED April 1, 2004

TITLE OF INVENTION

A Gene Engineering Recombinant Anti-CEA, Anti-CD3 And Anti-CD28 Single-Chain Tri-Specific Antibody

APPLICANT(S) FOR DO/EO/US

Xiangbin WANG, Hualiang HUANG, Baofeng ZHAO, Qi ZHAO, Jinhua PIAO, and Qing LIN

A power of attorney and/or change of address letter.

Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information: 1.  $\boxtimes$ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3.  $\boxtimes$ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The U.S. has been elected (Article 31).  $\boxtimes$ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  $\boxtimes$ is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7.  $\boxtimes$ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 20. below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13.  $\boxtimes$ A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification.

20.  $\boxtimes$ Other items or information: Transmittal of Sequence Listing, Sequence Listing in paper form, Copy of WO2005/095456 and International Search Report in English and Chinese.

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 37 CFR 1.821- 1.825.

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

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US APPLICATION NO. (If kindwir) see/7/EPR(56) A 9 18 INTERNATIONAL APPLICATION NO. PCT/CN2005/000408							ATTORNEY'S DOCKET NUMBER 11774-006-999			
The following fees are submitted:								. •		
21. \( \omega \) Basic national fee \( \omega \) \$300.00										
22. Examination fee										
Was the Written Opinion prepared by the ISA/USPTO or did the International Preliminary Examination Report prepared by the USPTO indicate that all										
filed claims in this U.S. application satisfy provisions of PCT Article										
33(1)-(4) (i.e., novelty, inventive step and industrial capability)?										
(										
Did the Written Opinion of the ISA/USPTO or the International preliminary										
examination report prepared by the USPTO indicate that all claims satisfy										
provisions of PCT Article 33(1)-(4) (i.e., novelty, inventive step and industrial capability)?										
i) Yes (\$0.00)										
ii) If no:										
(a) A Search Fee has been paid on the international application to the										
USPTO as an International Search Authority. (\$100.00)										
(b) An International Search Report prepared by an ISA other than the										
US and provided to the Office or previously communicated to the US by the IB. (\$400.00) \$400.00										
(c) All other situations (\$500.00)										
TOTAL OF CALCULATIONS =								\$	\$900.00	
								· · · · · ·		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing of computer programs listing filed in electronic medium). The fee is \$250 for										
each additional 50 sheets of paper or fraction thereof.										
Total Sheets	Ext	tra Sheets		of each additional 50 or 1		RATE				
63 100	thereof (round up to a whole number).				y 250.00			\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest										···
claimed priority date (37 CFR 1.492 (e)).								\$	\$0.00	
CLAIMS		NUMBER FILED		NUMBER EXTRA		RATE X				
Total Claims		20 - 20		0	X	x \$50.00			\$0.00	
Independent Claims		1 - 3		0	x \$200.00		=		\$0.00	
☐ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 360.00									\$0.00	
TOTAL OF ABOVE CALCULATIONS=								\$	\$900.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced								•	\$450.00	
by 50%.								- \$	\$450.00	
SUBTOTAL =								\$	\$450.00	
Surcharge of \$130.00 for furnishing the English Translation later than 30 months from the earliest									\$0.00	
claimed priority date (37 CFR 1.492(f)).									\$0.00	
TOTAL NATIONAL FEE								\$	\$450.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be									<b>#0.00</b>	
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 0 x \$40.00 per property								\$	\$0.00	
TOTAL FEES ENCLOSED								\$	\$450.00	
							Amo	unt to	he refunded:	
							Amount to be refunded: charged:			
a. A check in the amount of \$ to cover the above fees is enclosed.										· <u></u>
b.  This is an estimate of the fees due. Please charge Deposit Account										
No. 50-3013 to cover the required fees. A copy of this sheet is enclosed.										
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3013. A copy of this sheet is enclosed.										
Note: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.										
				should be mailed to		IES DAY 2	0583.			
25. 🛛 All tele	phone	inquiries sho	uld be made	e to (212) 326-3939.						
T. Christopher Tsang  September 29, 2006										
T. Christopher Tsang  NAME  SIGNATURE  40,258  REG. NO.									DATE DATE	2000